| SUBSTITUTE FORM PTO-1390 DEPARTMENT OF COMMERCE  PATENT AND TRADEMARK OFFICE  TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DOLEO/US) CONCERNING A FILING UNDER 35 U.S.C. 371  INTERNATIONAL PRPLICATION NO. INTERNATIONAL FILING DATE POTAUS99/18022  INTERNATIONAL PRPLICATION NO. INTERNATIONAL FILING DATE POTAUS99/18022  INTERNATIONAL PREVIOUS SYSTEM ISCHEMIA OR TRAUMA WITH EPIDERMAL GROWTH FACTOR-LIKE POLYPEPTIDES APPLICANTISP FOR ODECOUS Seth P. Finklestein Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1   |  |  | JG0425 G PCI/PIO 0 7 FEB 2001  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|
| DESIGNATED/ELECTED OFFICE (DO/ED/US) CONCERNING A FILING UNDER 35 U.S.C. 371  INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCTUS99/18022  INTERNATIONAL FILING DATE August 1999  PRICORTY DATE CLAIMED 7 August 1998  PRICORTY DATE CLAIMED 7 August 1998  TITLE OF INVENTION THEATMENT OF CENTRAL NERVOUS SYSTEM ISCHEMIA OR TRAUMA WITH EPIDERMAL GROWTH FACTOR-LIKE POLYPEPTIDES APPLICANTIS FOR DOTECUS Seth P. Finklestein  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:    This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.    This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371(f)).    This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371(f)).    The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).    A copy of the International Application as filed (35 U.S.C. 371(c)(2))   Secondary of the International Application as filed (35 U.S.C. 371(c)(2)).   A mendments to the claims of the International Application as filed (35 U.S.C. 371(c)(2)).   A mendments to the claims of the International Application as filed (35 U.S.C. 371(c)(2)).   A mendments to the claims of the International Application as filed (35 U.S.C. 371(c)(3)).   A mendments to the claims of the International Bureau.  | SUBSTITUTE FORM PTO-1390 DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  |  |  |  |  |  |  |  |  |
| INTERNATIONAL APPLICATION NO.   INTERNATIONAL FILING DATE   PRIORITY DATE CLAIMED   PROTILES   PROJECT   P | DESIGNATED/ELECTED OFFICE (DO/EO/US)   |  | LLS APPLICATION NO (IS Known and 27 CEP)   |  |  |  |  |  |  |
| PCTIUS99/18022   S August 1999   T August 1998   |  |  |  |  |  |  |  |  |  |
| TREATMENT OF CENTRAL NERVOUS SYSTEM ISCHEMIA OR TRAUMA WITH EPIDERMAL GROWTH FACTOR-LIKE POLYPEPTIDES  Seth P. Finklestein  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  1.  | PCT/US99/18022   |  |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DOJECIUS Seth P. Finklestein  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  1.   |  | CHEMIA OR TRAUMA WITH EPIDERMA   | AL GROWTH FACTOR-LIKE POLYPEPTIDES   |  |  |  |  |  |  |
| 1. Solution This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). 4. The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. Solution is attached hereto (required only if not communicated by the International Bureau). b. Solution is attached hereto (required and she filed in the United States Receiving Office (RO/US). 4. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 5. An endments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). a. a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. Note not been made and will not be made. d. An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 30. An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 31. An information Disclosure Statement under 37 CFR 1.97 and 1.98. 32. An information Disclosure Statement under 37 CFR 1.97 and 1.98. 33. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. A substitute specification. 34. A copy of the International Preliminary Examination Report  CEXTURCATE OF MAILING BY EXTRESS MAIL.  Express Mail Liable No.E. L.2(Lichi 1.3 to 20 U.S. Rottle blood to detail the blobe Size to addite the blobe to the blo | APPLICANT(S) FOR DO/EO/US  |  |  |  |  |  |  |  |  |
| 2.  ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3.  ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). 4.  ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 5.  ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a.  ☐ is attached hereto (required only if not communicated by the International Bureau). b.  ☐ has been communicated by the International Bureau. c.  ☐ is not required, as the application was filed in the United States Receiving Office (RO/US). 6.  ☐ An English language translation of the International Application ander PCT Article 19 (35 U.S.C. 371(c)(2)). 7.  ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). 8.  ☐ are attached hereto (required only if not communicated by the International Bureau). 9.  ☐ An English language translation of the International Bureau. 9.  ☐ An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9.  ☐ An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9.  ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 9.  ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 9.  ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 9.  ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 9.  ☐ An English language translation of the annexes to the International Preliminary Examination Report Under PCT Article 30 (35 U.S.C. 371(c)(5)). 9.  ☐ A English Language translation of the International Preliminary Exa  | Applicant herewith submits to the United States  | s Designated/Elected Office (DO/EO/  | /US) the following items and other information:  |  |  |  |  |  |  |
| 3.   | 1. X This is a FIRST submission of item  | ns concerning a filing under 35 U.S  | S.C. 371.  |  |  |  |  |  |  |
| 4.   | 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.   |  |  |  |  |  |  |  |  |
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| □ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).  □ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. □ 1. □ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. □ 2. □ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  13. □ A FIRST preliminary amendment. □ A SECOND or SUBSEQUENT preliminary amendment.  14. □ A substitute specification.  15. □ A change of power of attorney and/or address letter.  16. ☒ Other items or information: □ A copy of the International Preliminary Examination Report □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □   | <ul> <li>a.  is attached hereto (require</li> <li>b.  has been communicated b</li> <li>c.  is not required, as the appl</li> </ul>                     | d only if not communicated by the<br>by the International Bureau.  | , and the second |  |  |  |  |  |  |
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| □ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).  □ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. □ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  13. □ A FIRST preliminary amendment. □ A SECOND or SUBSEQUENT preliminary amendment. 14. □ A substitute specification. 15. □ A change of power of attorney and/or address letter. 16. ☑ Other items or information: □ A copy of the International Preliminary Examination Report □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □  | ្នឺ. 🔲 An English language translation of  | ☐ An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  |  |  |  |  |  |  |  |
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| An Information Disclosure Statement under 37 CFR 1.97 and 1.98.  12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  13. A FIRST preliminary amendment.  A SECOND or SUBSEQUENT preliminary amendment.  A substitute specification.  A change of power of attorney and/or address letter.  16. Other items or information:  A copy of the International Preliminary Examination Report  CERTIFICATE OF MAILING BY EXPRESS MAIL  Express Mail Label No FL 266 4 36 30 44 5 10 has this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents,  |  |  | Preliminary Examination Report under   |  |  |  |  |  |  |
| An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  13. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment.  14. A substitute specification.  15. A change of power of attorney and/or address letter.  16. Other items or information: A copy of the International Preliminary Examination Report    Certificate of Mailling By Express Mail   Express Mail Label Not 1.266 1.36 30 4 4.5   | tems 11 to 16 below concern other doc  | uments or information included   | d:   |  |  |  |  |  |  |
| included.  13. A FIRST preliminary amendment.  A SECOND or SUBSEQUENT preliminary amendment.  14. A substitute specification.  15. A change of power of attorney and/or address letter.  16. Other items or information:  A copy of the International Preliminary Examination Report    CERTIFICATE OF MAILING BY EXPRESS MAIL   Express Mail Label No. 1266 M 36 30 4 4 5   | 1. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |  |  |  |  |  |  |  |  |
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| 16. Other items or information:  A copy of the International Preliminary Examination Report  CERTIFICATE OF MAILING BY EXPRESS MAIL I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents,   | 14. A substitute specification.  |  |  |  |  |  |  |  |  |
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| CERTIFICATE OF MAILING BY EXPRESS MAIL  Express Mail Label No F. L. 266 4 36 30 4 U.S  I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents,  | _  | liminary Examination Report  |  |  |  |  |  |  |  |
| I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents,   |  |  |  |  |  |  |  |  |  |
| I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents,   |  | CERTIFICATE OF MAILING BY PUBBEC MAI   | Everger Meil Lahal NaF-1 OLd - W 2 In 20 WILL  |  |  |  |  |  |  |
| Date of Deposit Signature Typed Name of  |  | I hereby certify under 37 CFR §1.10 that this corresponder of the Washington, D.C. 20231.                  | dence is being deposited with the United States Postal Service as Express Mail date indicated below and is addressed to the Commissioner for Patents,  |  |  |  |  |  |  |

| U.S. APPLION IND. TO HE   | NO2/4 > 2    | INTERNATIONAL APPLIC<br>PCT/US99/18022 | CATION NO.    | TION NO. ATTORNEY'S DOCKET NUMBE 00786-400002 |                        |          |  |
|---|--------------|--|---------------|---|------------------------|----------|--|
| 17.  The following fees are submitted:  |              |  |               |   | CALCULATIONS           | PTO USE  |  |
| Basic National F e ( 37 CFR 1.492(a)(1)- (5) ):   |              |  |               |   | ONLY                   |          |  |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO   |              |  |               |   |                        |          |  |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860   |              |  |               |   |                        |          |  |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO  |              |  |               |   |                        |          |  |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690   |              |  |               |   |                        |          |  |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)\$100  |              |  |               |   |                        |          |  |
| ENTER APPROPRIATE BASIC FEE AMOUNT =  |              |  |               |   | \$690.00               |          |  |
| Surcharge of \$130 for fur<br>months from the earliest  |              |  | 20 🗍 30       |   | \$0.00                 |          |  |
| Claims  | Number Filed | Number Extra                           | Rate          |   |                        |          |  |
| <b></b> dtal Claims   | 24 - 20 =    | 4                                      | x \$18        |   | 72.00                  |          |  |
| independent Claims  | 3 - 3 =      |  | x <b>\$80</b> |   | \$0.00                 |          |  |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable) + \$270  |              |  |               | \$0.00  |                        |          |  |
| TOTAL OF ABOVE CALCULATIONS =   |              |  |               |   | \$0.00                 |          |  |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              |  |               | \$0.00  |                        |          |  |
| SUBTOTAL =  |              |  |               | \$0.00  |                        |          |  |
| Processing fee of \$130 for furnishing the English Translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f))  |              |  |               |   | \$0.00                 |          |  |
| TOTAL NATIONAL FEE =  |              |  |               |   | \$0.00                 |          |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).\$40.00 per property +   |              |  |               | \$0.00  |                        |          |  |
| TOTAL FEES ENCLOSED =   |              |  |               |   | \$762.00               |          |  |
| ±<br>±  |              |  |               |   | Amount to be refunded: | \$       |  |
|   |              |  |               |   | Charged:               | \$       |  |
| <ul> <li>a.  A check in the amount of \$762.00 to cover the above fees is enclosed.</li> <li>b.  Please charge my Deposit Account No. 06-1050 in the amount of \$0.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</li> <li>c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1050. A duplicate copy of this sheet is enclosed.</li> </ul> |              |  |               |   |                        |          |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.  |              |  |               |   |                        |          |  |
| SEND ALL CORRESPONDEN   | ICE TO:      |  |               | Q   |                        |          |  |
| L Datas Faces   |              |  |               | 1   | $\theta = 1$           |          |  |
| J. Peter Fasse<br>FISH & RICHARDSON F   | P.C.         |  | SIGNATURE :   | 10  | in grass               | <u> </u> |  |
| 225 Franklin Street   |              |  |               |   | L Doto- D              |          |  |
| Boston, MA 02110-2804   |              |  | NAME          |   | J. Peter F             | a55C     |  |
| (617) 542-5070 phone  |              |  |               |   | 32,98                  | 3        |  |
| (617) 542-8906 facsimile REGISTRATION NUMBER  |              |  |               |   |                        |          |  |